



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

\_\_\_\_\_  
X  
In re Patent application of:  
Sundaram VENKATRAMAN et al.  
Application No.: 10/786,556  
Filed: February 25, 2004  
For: CRYSTALLINE FORM Z OF  
RABEPRAZOLE SODIUM AND...  
\_\_\_\_\_  
X

Date: November 5, 2004  
Atty. Docket No.: BULK 3.0-038  
(Former Docket No. U 015050-4)

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

TRANSMITTAL LETTER

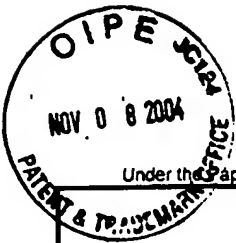
The owners of this application wish to formally appoint attorneys for further proceedings, and therefore are enclosing Forms PTO/SB/80 and PTO/SB/96, plus a copy of an assignment that was executed by the inventors.

Please change the address for correspondence to that of **Customer No. 45776**, and enter the new attorneys' **Docket No. BULK 3.0-038**.

Respectfully submitted,

Robert A. Franks  
Attorney for Applicants  
Reg. No. 28,605

Dr. Reddy's Laboratories, Inc.  
200 Somerset Corporate Blvd.  
Seventh Floor  
Bridgewater, NJ 08807-2862  
Telephone 908-203-6504  
Facsimile 908-203-6515

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Sundaram VENKATRAMAN et al.Application No./Patent No.: 10/786,556 Filed/Issue Date: February 25, 2004Entitled: CRYSTALLINE FORM Z OF RABEPRAZOLE SODIUM AND PROCESS FOR PREPARATION THEREOFDr. Reddy's Laboratories, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest. 50 %  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

**OR**

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: The seven inventors To: Dr. Reddy's Laboratories, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Robert A. Franks (Reg. No. 28,605)

Printed or Typed Name

Attorney for Dr. Reddy's Laboratories, Inc.

Title

Nov. 5, 2004

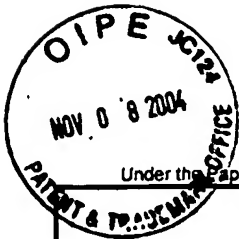
Date

908-203-6504

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Sundaram VENKATRAMAN et al.

Application No./Patent No.: 10/786,556 Filed/Issue Date: February 25, 2004

Entitled: CRYSTALLINE FORM Z OF RABEPRAZOLE SODIUM AND PROCESS FOR PREPARATION THEREOF

Dr. Reddy's Laboratories Limited, a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is 50 %  
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: The seven inventors To: Dr. Reddy's Laboratories Limited  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert A. Franks

Signature

Robert A. Franks (Reg. No. 28,605)

Printed or Typed Name

Attorney for Dr. Reddy's Laboratories Limited

Title

Nov. 5, 2004

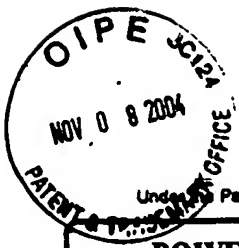
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## POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:

☒ Practitioners associated with the Customer Number:

45776

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Assignee Name and Address:

Dr. Reddy's Laboratories Limited

7-1-27 Amcerpet


Hyderabad 500 016, Andhra Pradesh

India

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

### SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Andrew J. Miller		
Signature		Date	August 5, 2004
Title	Board Designee	Telephone	908-203-4949

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby appoint:

☒ Practitioners associated with the Customer Number:

45776

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).**Assignee Name and Address:**

Dr. Reddy's Laboratories, Inc.

Seventh Floor


200 Somerset Corporate Boulevard

Bridgewater, New Jersey 08807-2862

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Andrew J. Miller		
Signature		Date	August 5, 2004
Title	Executive Vice President	Telephone	908-203-4949

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
[ ] U.S. Provisional Application  
For: [ ] U.S. Patent  
For: [ ] PCT Application  
By: Multiple Inventors

**ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNORS (Inventors):**Sundaram VENKATRAMAN*(type or print name of inventors)*Plot No. 141, Flat No. 202Sharada Nilayam, Moti NagarHyderabad-500 018, Andhra Pradesh, IndiaAddressIndianNationalityManne Satyanarayana REDDYH. No: 8-3-167/D/16, Kalyan NagarNear AG Colony, ErragaddaHyderabad-500 038 IndiaAddressIndianNationalitySajja ESWARAIAHLIG 110, Dharma Reddy ColonyK.P.H.B. Colony, KukatpallyHyderabad-500 072, IndiaAddressIndianNationalityBolugoddu Vijaya BHASKARFlat No. 209, S V Sumithra ApartmentsSumithra Nagar, KukatpallyHyderabad-500 072 Andhra Pradesh, IndiaAddressIndianNationalityPingili Ramchandra REDDYH. No: 1-173/5, Snehapuri ColonyBorabanda, Sanath NagarHyderabad-500 018 Andhra Pradesh, IndiaAddressIndianNationality

Added page(s) for signature by subsequent inventors. Number of pages added

**1**

ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS

ASSIGNORS (Inventors):

Ireddy RAJIV

*(type or print name of inventors)*

c/o Ramchander, H. No: 5-5-7/27

Devinagar Road No. 5, Kukatpally

Hyderabad-500 072, Andhra Pradesh, India

Address

Indian

Nationality

Thirunava Karasu Ananda BABU

C-71, Shanmugham Street, Thirunagar, Madurai

Tamilnadu, India

Address

Indian

Nationality

Address

Nationality

Address

Nationality

Address

Nationality

(if assignment is by person or entity to whom invention was previously assigned,  
and this was recorded in PTO, add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to  
ASSIGNEES

Dr. Reddy's Laboratories Limited

(type or print name of ASSIGNEE)

7-1-27 Ameerpet

Address

INDIAN

Nationality

Hyderabad 500 016, India

AND

Dr. Reddy's Laboratories Inc.

(type or print name of ASSIGNEE)

One Park Way

Address

INDIAN

Nationality

Upper Saddle River, New Jersey 07458

and the successors, assigns and legal representatives of the ASSIGNEES

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States  
and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

- ☐ and in all foreign countries, including all rights to claim priority, in and to any and all  
improvements which are disclosed in the invention entitled:

CRYSTALLINE FORM Z OF RABEPRAZOLE SODIUM AND PROCESS FOR

PREPARATION THEREOF

Name of inventor(s) Sundaram VENKATRAMAN, Manne Satyanarayana REDDY, Saija

ESWARAIAH, Bolugoddu Vijaya BHASKAR, Pingili Ramchandra REDDY, Ireddy RAJIV,

Thirunava Karasu Ananda BABU

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith  
(b) ☐ Not yet filed U.S. patent application executed on \_\_\_\_\_  
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for  
the above-entitled invention  
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an  
ASSIGNOR signing below, hereby authorize and request my  
attorney to insert below the filing date and application number when  
they become known.  
(d) ☒ U.S. patent or provisional patent application no. 10/786,556  
filed on February 25, 2004  
(e) ☐ International application no. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
☐ A change of address to which correspondence is to be sent regarding  
patent maintenance fees is being sent separately.



(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Sundaram VENKATRAMAN

(type name of Assignor)

(X) S. Venkatarman

Signature of ASSIGNOR

this (X) 23-04-2009  
date of signing

Manne Satyanarayana REDDY

(type name of Assignor)

(X) M. Satyanarayana

Signature of ASSIGNOR

this (X) 23/04/2009  
date of signing

Sajja ESWARAIHAH

(type name of Assignor)

(X) Sajja Eswaraiha

Signature of ASSIGNOR

this (X) 23-04-2009  
date of signing

Bolugoddu Vijaya BHASKAR

(type name of Assignor)

(X) Bolugoddu Vijaya

Signature of ASSIGNOR

this (X) 23-04-2009  
date of signing

Pingili Ramchandra REDDY

(type name of Assignor)

(X) Pingili Ramchandra

Signature of ASSIGNOR

this (X) 23/04/2009  
date of signing

Ireddy RAJIV

(type name of Assignor)

(X) I. Rajiv

Signature of ASSIGNOR

this (X) 23/04/2009  
date of signing

Thirunava Karasu Ananda BABU

(type name of Assignor)

(X) T. Ananda Babu

Signature of ASSIGNOR

this (X) 23/04/2009  
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.